SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287

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hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or Sec	tion 30(h) of the	Investme	ent Co	mpany Act	of 19	940							
1. Name and Address of Reporting Person [*] Arteris IP, LLC						2. Issuer Name and Ticker or Trading Symbol Arteris, Inc. [AIP]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Interis</u>	<u>II, LLC</u>												Directo	r	Х	10% C	wner	
(Last) 591 W. H	(F HAMILTOR	First) NAVE	(Middle)		3. Date 10/29/	of Earliest Trans 2021	action (N	1onth/I	Day/Year)				Officer below)	(give title		Other (below)	specify	
SUITE 2	:50				4. If Am	iendment, Date o	f Origina	l Filed	(Month/Da	ay/Ye	ear)	6. Ir Line	dividual or J	oint/Grou	p Filing	(Check Ap	plicable	
(Street) CAMPB	ELL C	A	95008		_							·	X Form fi	led by Mo		rting Perso One Repo		
(City)	(5	State)	(Zip)															
		Та	ble I - No	n-Deri	vative S	ecurities Ac	quired	, Dis	posed c	of, o	or Ben	eficially	y Owned					
1. Title of	Security (Ins	tr. 3)		2. Trans Date (Month/		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code 8)		4. Securiti Disposed 5)				5. Amount Securities Beneficiall Owned Fol Reported	у	6. Own Form: (D) or I (I) (Inst	Direct ndirect tr. 4)	Ownership	
							Code	v	Amount		(A) or (D)	Price	Transactio (Instr. 3 an				Instr. 4)	
Common	Stock			10/29	9/2021		С		335,89	1	A	(1)	10,335	,89 1		I I	See Footnote ⁽²⁾	
			Table II -			curities Acq IIs, warrants							Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date, T	I. Transaction Code (Instr. 8)		6. Date E Expiratio (Month/E	on Date	9	of S Unc Der	Title and A Securities derlying rivative S str. 3 and	ecurity	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securiti Benefic Owned	ve es	10. Ownershi Form: Direct (D) or Indirec	Beneficial Ownershi	

	Derivative Security				of ([isposed D) (Instr. and 5)			(Instr. 3 ai	nd 4)		Owned Following Reported Transaction(s)	or Indirect (I) (Instr. 4)	(Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Series A Preferred Stock	(1)	10/29/2021	С			335,891	(1)	(1)	Common Stock	335,891	\$ <mark>0</mark>	335,891	D ⁽²⁾	

Explanation of Responses:

1. The Issuer's Preferred Stock will automatically convert into shares of the Issuer's Common Stock on a 1 to 1 basis for no additional consideration upon the closing of the Issuer's initial public offering. The shares have no expiration date.

2. K. Charles Janac is the manager of Arteris IP, LLC and as such is deemed to have voting and dispositive power over the shares beneficially owned by Arteris IP, LLC.

/s/ K	. Charl	les Jan	lac

<u>11/02/2021</u> ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.