SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	Ľ	Tiours per response. 0.5			
	,		or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person [*] Viana Antonio J			2. Issuer Name and Ticker or Trading Symbol <u>Arteris, Inc.</u> [AIP]	(Check a	onship of Re II applicable Director		on(s) to Issuer 10% Owner	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/30/2024		Officer (give below)	e title	Other (specify below)	
C/O ARTERIS, INC. 900 E. HAMILTON AVE., SUITE 300			4. If Amendment, Date of Original Filed (Month/Day/Year)	 (Day/Year) 6. Individual or Joint/Group Filing (Check Applic Line) Form filed by One Reporting Person 				
(Street) CAMPBELL	СА	95008			Form filed b Person	y More than	One Reporting	
			Rule 10b5-1(c) Transaction Indication					
(City)	(State)	(Zip)	Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See	to a contract, Instruction 10	t, instruction o 0.	or written plan	that is intended to	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/30/2024		G ⁽¹⁾		2,781	D	\$0.00	48,315	D	
Common Stock	05/30/2024		G		2,781 ⁽¹⁾	A	\$0.00	201,531	I	Viana Family Trust
Common Stock	06/06/2024		G ⁽¹⁾		29,027	D	\$0.00	19,288(2)	D	
Common Stock	06/06/2024		G		29,027(1)	A	\$0.00	230,558	Ι	Viana Family Trust
Common Stock	06/07/2024		S ⁽³⁾		26,919	D	\$ 7.8545 ⁽⁴⁾	203,639	I	Viana Family Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerce Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The shares were transferred to the Viana Family Trust for which the reporting person is the trustee.

2. Includes 19,287 restricted stock units.

3. Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person on December 5, 2022.

4. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$7.69 to \$8.08 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Remarks:

/s/ Paul Alpern, as Attorneyin-Fact for Antonio J. Viana 06/10/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.