SEC Form 4	
FORM 4	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

**5** 

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Instruction 1(b).				ursuant to Section 16(a or Section 30(h) of the		rities Exchange Act of 1934 ompany Act of 1940				
Alpern Paul I	me and Address of Reporting Person* <u>pern Paul L</u> t) (First) (Middle) ARTERIS, INC. E. HAMILTON AVE., SUITE 300 et) MPBELL CA 95008 ) (State) (Zip) Table I - Non-Ile of Security (Instr. 3) 2. Tr		3	. Issuer Name <b>and</b> Tic Arteris, Inc. [ AI . Date of Earliest Trans 15/01/2024	P ]			tionship of Reportir all applicable) Director Officer (give title below) VP and Ge	10% C	Owner (specify
	ON AVE., SUITI			. If Amendment, Date of	of Original File	ed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by On Form filed by Mo Person	e Reporting Pers	son
(City)	(State)	(Zip)	F	Check this box to inc	dicate that a tra	nsaction Indication			n plan that is intend	led to
	1	able I - N	on-Derivati	ve Securities Ac	quired, Di	sposed of, or Benefi	cially (	Owned		
1. Title of Security	(Instr. 3)		2. Transaction Date (Month/Day/Ye	ar) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11311. 4)		
Common Stock	05/01/2024		<b>M</b> <sup>(1)</sup>		10,000	A	\$0.56	91,042	D			
Common Stock	05/01/2024		M <sup>(2)</sup>		1,250	A	\$0.6	92,292	D			
Common Stock	05/01/2024		<b>S</b> <sup>(3)</sup>		10,000	D	<b>\$</b> 6.3857 <sup>(4)</sup>	82,292	D			
Common Stock	05/01/2024		<b>S</b> <sup>(3)</sup>		1,250	D	\$6.3973 <sup>(5)</sup>	81,042 <sup>(6)</sup>	D			

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Common Stock	\$0.6	05/01/2024		M <sup>(3)</sup>			1,250	(2)	07/22/2030	Common Stock	1,250	\$0.00	3,750	D	
Common Stock	\$0.56	05/01/2024		M <sup>(3)</sup>			10,000	(1)	10/23/2029	Common Stock	10,000	\$0.00	130,000	D	

## Explanation of Responses:

1. 25% of the total shares vested on August 26, 2020; thereafter, 1/48th of the total shares have vested monthly for 3 years. beginning on September 26, 2020.

2. 25% of the total shares vested on July 23, 2021; thereafter, 1/48th of the total shares vest monthly for 3 years. beginning on August 23, 2021.

3. Transaction made pursuant to a 10b5-1 trading plan that was adopted by the Reporting Person on August 16, 2023.

4. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$6.24 to \$6.57 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote

5. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$6.24 to \$6.56 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote

6. Includes 81,042 restricted stock units.

**Remarks:** 

/s/ Paul Alpern

\*\* Signature of Reporting Person

05/03/2024 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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