SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Instruction 1(b)			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 or Section 30(h) of the Investment Company Act of 1940	4			polise. 0.5
1. Name and Addr JANAC K C		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>Arteris, Inc.</u> [AIP]		lationship of Repo ck all applicable) Director	rting Pers	son(s) to Issuer 10% Owner
(Last) C/O ARTERIS	(First) , INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/01/2024	~	DCIOW)	ent and (Other (specify below) CEO
900 E. HAMIL	TON AVE., S	SUITE 300	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	lividual or Joint/Gro	oup Filing	(Check Applicable
(Street) CAMPBELL	CA	95008		~	Form filed by C Form filed by N Person	•	orting Person One Reporting
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication				
			Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See	to a contract, instruction or written plan that is intended to Instruction 10.			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	07/01/2024		S ⁽¹⁾		4,583	D	\$ 7.2401 ⁽²⁾	310,758	D	
Common Stock	07/02/2024		S ⁽³⁾		2,760	D	\$6.9795	307,998	D	
Common Stock	07/02/2024		S ⁽³⁾		2,760	D	\$6.9795	305,238	D	
Common Stock	07/03/2024		S ⁽¹⁾		9,168	D	\$ 7.2284 ⁽⁴⁾	296,070	D	
Common Stock								10,307,691	Ι	Bayview Legacy, LLC ⁽⁵⁾
Common Stock								63,252	Ι	Charles & Lydia Janac Trust ⁽⁶⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Transaction made pursuant to a 10b5-1 trading plan that was adopted by the Reporting Person on February 27, 2024.

2. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$7.10 to \$7.49 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. Shares sold to satisfy the Reporting Person's tax liability arising as a result of the release of restricted stock units.

4. The price reported in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$7.12 to \$7.36 inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

5. The Reporting Person is the manager of Bayview Legacy, LLC and as such is deemed to have voting and dispositive power of the shares beneficially owned by Bayview Legacy LLC.

6. The shares are held by Charles & Lydia Janac Trust, for which the Reporting Person serves as trustee.

Remarks:

/s/ Paul Alpern, as Attorneyin-Fact for CHARLES K JANAC

07/03/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.